



AF 220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Marc Schaepekens et al.

Serial No. 10/817,531

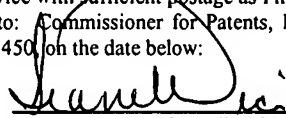
Filed: April 2, 2004

For: Organic Electronic Packages Having  
Hermetically Sealed Edges and  
Methods of Manufacturing Such  
Packages

§  
§ Group Art Unit: 2815  
§  
§ Examiner: Chris C. Chu

§  
§  
§ Atty. Docket: 133525-1  
GERD:0065/MAN

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION OR MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office in accordance with 37 C.F.R. § 1.6(d), or is being transmitted via the Office electronic filing system in accordance with 37 C.F.R. § 1.6(a)(4), or is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below:	
June 13, 2007	
Date	Seanelle Dice

**RESUBMISSION OF PREVIOUSLY FILED  
PRE-APPEAL BRIEF REQUEST FOR REVIEW**

Dear Sir:

On April 11, 2007, Applicants filed a Notice of Appeal under 37 C.F.R. § 41.31, along with a Pre-Appeal Brief Request for Review. While the Notice of Appeal was received by the Patent Office, in a teleconference with the undersigned, Examiner Chris Chu indicated she had no record of the Pre-Appeal Brief Request for Review having been submitted along with the Notice of Appeal. Accordingly, Applicants hereby resubmit a copy of the Pre-Appeal Brief Request for Review originally submitted on April 11, 2007. Applicants also submit herewith a copy of the returned postcard which was stamped by the Patent Office on April 16, 2007,

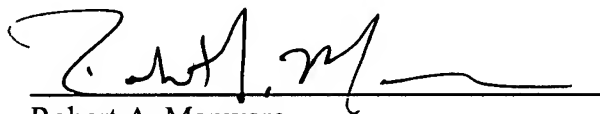
indicating that the Pre-Appeal Brief Request for Review was submitted along with the Notice of Appeal. Accordingly, Applicants respectfully request entry and consideration of the Pre-Appeal Brief Request for Review.

**Applicants note that the PAIR indicates that the previously submitted Pre-Appeal Brief Request for Review was received by the Patent Office, but was scanned and indexed along with the Notice of Appeal.**

If the Examiner has any questions regarding the resubmission of the present Pre-Appeal Brief Request for Review, or would like to discuss any substantive matters regarding the present application, the Examiner is encouraged to contact the undersigned at the telephone number listed below.

Respectfully submitted,

Date: June 13, 2007



Robert A. Manware  
Registration No. 48,758  
FLETCHER YODER  
P.O. Box 692289  
Houston, TX 77269-2289  
(281) 970-4545



non-final Office Action. Appellants respectfully traverse these rejections in view of the clear legal and factual deficiencies discussed in detail below.

As discussed in the Response to Office Final Office Action filed by Appellants on February 12, 2007, embodiments of the present application relate to providing a hermetically sealed package for organic electronic devices. Application, Page 2, paragraph [0005]. In one embodiment an organic electronic package is provided, wherein the package includes a sealant implemented to couple a superstrate to a flexible substrate, providing a complete enclosure for the organic electronic device. Application, Page 7, paragraph [0024]. As used in the present specification, the “organic electronic device” includes a number of organic semiconductor layers disposed between two conductors or electrodes. Application, Page 7, paragraph [0023]. The specification describes a superstrate as referring to the upper substrate of the organic package. Application, Page 7, paragraph [0025]. In one embodiment, the organic package includes an organic electronic device and a superstrate disposed proximate to the organic electronic device, wherein the superstrate is adapted to wrap around the edges of the package, thereby sealing and protecting the organic electronic device. Application, Page 9, paragraphs [0028] – [0029], Fig. 3.

Accordingly, independent claim 1 recites “[a] package comprising . . . a superstrate *coupled directly to* the sealant and *disposed proximate to* the organic electronic device, wherein the superstrate comprises a periphery adapted to wrap around the edges of the package.” (Emphasis added). Similarly, independent claim 47 recites “[a] package comprising...a superstrate *coupled directly to* the sealant and *disposed proximate to* the organic electronic device, wherein the superstrate comprises at least one layer larger than the flexible substrate and a periphery adapted to wrap around the edges of the package.” (Emphasis added). To be clear, each of the independent claims recites a superstrate that is “coupled directly to” a sealant and “disposed proximate to” an organic electronic device.

Appellants respectfully remind the Panel that during examination, the claims must be interpreted as broadly as their terms *reasonably* allow. This means that the words of the claims

must be given their *plain meaning* unless Applicant has provided a clear definition in the specification. *In re Zletz*, 893 F.2d 319, 321, 13 U.S.P.Q.2d 1320, 1322 (Fed. Cir. 1989); M.P.E.P. § 2111.01. “Words in patent claims are given their ordinary meaning in the usage of the field of the invention, unless the text of the patent makes clear that a word was used with a special meaning.” *In re Sneed*, 710 F.2d 1544, 218 U.S.P.Q. 385 (Fed. Cir. 1983). Further, for a prior art reference to anticipate under Section 102, every element of the claimed invention must be identically shown in a single reference. *In re Bond*, 910 F.2d 831, 15 U.S.P.Q.2d 1566 (Fed. Cir. 1990).

As discussed above, embodiments of Appellants invention include a superstrate that is both 1) “coupled directly to” a sealant; and 2) disposed “proximate” to an organic electronic device. As will be appreciated, the plain and ordinary meaning of the term “proximate,” is “close” or “very near.” See e.g., WEBSTER’S NEW UNIVERSAL UNABRIDGED DICTIONARY 1158 (1994); <http://dictionary.reference.com/browse/proximate>. Thus, in accordance with the ordinary meaning of the term, “proximate” refers to something that is near, close or next to. “Proximate” does *not* refer to something that is in contact or touching something else. Suggestions to the contrary would be inconsistent with the plain and ordinary meaning of the term.

Indeed, Appellants specification clearly supports this plain and ordinary meaning. For example, each figure and corresponding description makes clear that in accordance with embodiments of Appellants’ invention, the superstrate is disposed next to, *but not in contact with*, the organic electronic device. See e.g., Figs. 1, 3, 4 and 7. Accordingly, it is clear from the specification that Appellants are using the term “proximate” in accordance with its plain and ordinary meaning. That is, the superstrate is disposed “proximate,” (i.e., next to, but not in contact with) the organic electronic device. This usage of “proximate” to describe a relationship between the superstrate and the organic electronic device is in stark contrast to the recited relationship between the superstrate and the sealant. That is, the claims make it clear that when one element is in contact with another element, it is “coupled to” or “coupled directly to” that

element (e.g., “an organic electronic device coupled to the transparent film,” or “a superstrate coupled directly to the sealant”). In stark contrast, when one element is arranged close to, but not in contact with another element, it is “disposed proximate to” that element (e.g., “a superstrate...disposed proximate to the organic electronic device”). Accordingly, it is clear from the plain and ordinary meaning of the terms expressly recited in independent claim 1 and 47, and fully supported by Appellants’ specification, that “proximate to” refers to one element that is next to, but not in contact with another element, which is clearly distinct from an element that is “coupled directly to,” and thus in contact with another element. Thus, each of the independent claims recites a superstrate that is next to, but not in contact with, an organic electronic device.

In contrast, the Padiyath reference discloses an OLED device 200, wherein a metal foil 250 (asserted to be the recited superstrate) is coupled directly to the cathode 230 of the light emitting structure 220. *See e.g.*, Fig. 4. As a preliminary matter, Appellants note that the organic electronic device of Padiyath is not solely made up of the light emitting layers 220. Those skilled in the art would fully appreciate that to form an “organic electronic device,” the light emitting layers must be sandwiched between two electrodes. Accordingly, any correlation of the structure of Padiyath with Appellants’ recited “organic electronic device” must include not only the light emitting layers 220 of Padiyath, but also the cathode 230 and the ITO anode 210.

With this in mind, it is clear that the Padiyath reference teaches that the asserted superstrate (foil 250) is in direct contact with or *coupled directly to* the cathode 230 of the organic electronic device. Indeed, the Padiyath reference explicitly teaches that “an opening 260 formed in adhesive 240 permits a portion 270 of foil 250 to be deformed into contact with cathode 230.” Col. 9, lines 13-15. Thus, it cannot be said that the metal foil 250 is “proximate to” the cathode 230. Rather, the metal foil 250 is disposed in direct contact with the cathode 230. While it is true that portions of the metal foil 250 are indeed separated from portions of the organic electronic device (elements 230-220-210) by the adhesive 240, portions of the metal foil 250 are not. Indeed, those skilled in the art would fully appreciate that the electrically conductive nature of the cathode 230 and the metal foil 250 mean that any such direct contact changes the

operative nature of the device entirely. As previously asserted, Appellants note that the distinction in the plain language of the independent claims themselves (e.g., the superstrate is both "coupled directly to" a sealant and disposed "proximate" to an organic electronic device) should give clear guidance that the superstrate recited in the present claims cannot be reasonably interpreted as being coupled to or in contact with the organic electronic device. Because the Padiyath reference *does* disclose a superstrate coupled directly to both the sealant and the organic electronic device, Appellants respectfully assert that the Padiyath reference cannot possibly disclose the recited elements. That is, the Padiyath reference *does not* disclose "a superstrate *coupled directly to* the sealant and *disposed proximate to* the organic electronic device," as recited in claims 1 and 47.

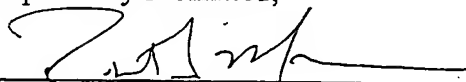
Accordingly, Appellants respectfully assert that the Padiyath reference fails to disclose all of the recited features of independent claims 1 and 47, and thus, the Padiyath reference cannot possibly anticipate the recited subject matter. Therefore, Appellants respectfully assert that the Examiner's rejection is in error.

### Conclusion

In view of the foregoing, Appellants respectfully request that the Panel reverse the Examiner's rejection of claims 1-10 and 47-50.

Date: April 11, 2007

Respectfully submitted,



Robert A. Manware  
Registration No. 48,758  
FLETCHER YODER  
P.O. Box 692289  
Houston, TX 77269-2289  
(281) 970-4545



Doc Code: AP.PRE.REQ

PTO/SB/33 (07-05)

Approved for use through xx/xx/200x. OMB 0651-00xx  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## PRE-APPEAL BRIEF REQUEST FOR REVIEW

Docket Number (Optional)

133525-1/MAN (GERD:0065)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]

on April 11, 2007

Signature

Typed or printed  
name

Robert A. Manware

Application Number

10/817,531

Filed

April 2, 2004

First Named Inventor

Marc Schaepekens et al.

Art Unit

2815

Examiner

Chris C. Chu

Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.

This request is being filed with a notice of appeal.

The review is requested for the reason(s) stated on the attached sheet(s).

Note: No more than five (5) pages may be provided.

I am the

☐

applicant/inventor.

☐

assignee of record of the entire interest.

See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/96)

☒

attorney or agent of record.

Registration number 48,758

☐

attorney or agent acting under 37 CFR 1.34.

Registration number if acting under 37 CFR 1.34

Signature

Robert A. Manware

Typed or printed name

(281) 970-4545

Telephone number

April 11, 2007

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒

\*Total of 1 forms are submitted.

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Please indicate receipt of  
the below-identified paper:

☒ Other Notice of Appeal Under 37 C.F.R. § 41.31 from the Decision of the  
Examiner to the Board of Patent Appeals and Interferences (2 sheets);  
Pre-Appeal Brief Request for Review - Transmittal (1 sheet); Pre-Appeal  
Brief Request for Review (5 sheets); Postcard (1 sheet)

☒ Final Rejection

☒ Cert. Of Timely Mailing

Identification of Application:

Serial No. 10/817,531

Title Organic Electronic Packages Having Practically Sealed Edges  
and Methods of Manufacturing Such Packages

Applicant Marc Schaepekens et al.

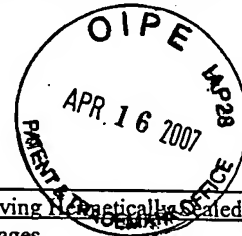
Client General Electric Global Research

File No. 133525-1 (GERD:0065)

Attorney YOD/MAN

Mailed April 11, 2007

Filed April 2, 2004



**COPY**

Please indicate receipt of  
the below-identified paper:

☒ Other Notice of Appeal Under 37 C.F.R. § 41.31 from the Decision of the  
Examiner to the Board of Patent Appeals and Interferences (2 sheets);  
Pre-Appeal Brief Request for Review - Transmittal (1 sheet); Pre-Appeal  
Brief Request for Review (5 sheets); Postcard (1 sheet)

☒ Final Rejection

☒ Cert. Of Timely Mailing

Identification of Application:

Serial No. 10/817,531

Title Organic Electronic Packages Having Practically Sealed Edges  
and Methods of Manufacturing Such Packages

Applicant Marc Schaepekens et al.

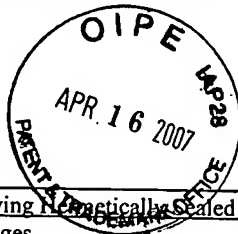
Client General Electric Global Research

File No. 133525-1 (GERD:0065)

Attorney YOD/MAN

Mailed April 11, 2007

Filed April 2, 2004



DER

9

69-2289

